



Technical Note

Procedure for applying the environmental contribution modulation criteria

Professional Electrical and Electronic Equipment

24/03/2020

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1. Context

As of 2010, an eco-modulation scheme was introduced for household electrical and electronic equipment, before being expanded in 2015.

Eco-modulation is an incentive tool aimed at promoting a circular economy using the ecocontribution fee scale. Its objective is to promote producers' ecodesign processes in terms of waste prevention, equipment decontamination, and service-life extension. Through this scheme, producers can thus receive some return on investment from their ecodesign endeavours.

Following the publication of the eco-modulation decree of the 29th October 2019, environmental contribution modulation also applies to professional electrical and electronic equipment.

This technical note from take-back system (or Producer Responsibility Organization) in charge of Waste Electrical and Electronic Equipment (WEEE) follows a consultation involving Electrical and Electronic Equipment producer federations and associations, recycling business federations, the French Ministry for the Environment and the French Environment and Energy Management Agency (ADEME), among others. Its purpose is to provide the requisite clarifications to help the **Professional Electrical and Electronic Equipment** producers concerned gain a better understanding of the implementation of this modulation.

The final version of this report was submitted to the French Ministry for the Environment and ADEME prior to distribution.

In this report, the term "category" of electrical equipment has the same meaning as in Annex II of Directive 2012/19/EU, transposed into Article R543-172 of the French Environmental Code.

The EEE concerned by this note and covered by the eco-modulation scheme are listed in the respective scales of the take-back systems approved for professional WEEE.

2. <u>Summary of criteria and ranges (Annex III of eco-modulation decree of the 29th October 2019)</u>

Professional equipment in question	Contribution modulation criteria	Contribution modulation ranges
- Electronic displays (*) - Refrigerating appliances with a direct sales function (*) - Chest freezers (*) - Welding equipment (*) - Printing systems - Emergency lighting systems	Contractual documents providing for the availability of essential spare parts for the use of the appliance for a period greater than or equal to the following minimum period after the final unit of the model has been placed on the market: 10 years 15 years 15 years 4 years 8 years	Bonus of -10% and subsequently - 20% from the 1 st January 2021
Category 1 to 10 appliances containing at least 20% plastic by mass and containing flame retardants	Absence of bromine in all plastic parts of more than 25 grams containing flame retardants, excluding electronic cards and power cables.	Bonus of -10% and subsequently - 20% from the 1 st January 2021 Bonus of -10%
Category 1 to 10 appliances containing at least 20% plastic by mass	At least 20% by mass of all of the plastic in the appliance is derived from post-consumer recycled plastic.	and subsequently - 20% from the 1 st January 2021

^(*) The appliances in question are those defined by the legislation in force or the draft version thereof submitted for consultation, in accordance with Directive 2009/125 of the 21st October 2009 establishing a framework for the setting of ecodesign requirements for energy-related products.

3. General rules

3.1. Combination of modulations

Where products are eligible for multiple modulation criteria, they are entitled to combine modulations according to the following terms:

Number of criteria fulfilled by the product	2020 (commencing the 1 st July 2020)	From 2021
1	Bonus of -10%	Bonus of -20%
2	Bonus of -15%	Bonus of -30%
3	Bonus of -20%	Bonus of -40%

Examples:

In 2021, a category 1 appliance with 30% by mass of all of the plastic contained in the appliance derived from post-consumer recycled plastic, would be entitled to a **bonus of 20%**. In 2021, a category 1 appliance free from bromine in all plastic parts of more than 25 grams containing flame retardants **AND** with 30% by mass of all of the plastic contained in the appliance derived from post-consumer recycled plastic, would be entitled to a **bonus of 30%**.

3.2. Declaration process

In their declarations, producers will undertake via their take-back system's reporting tool to provide:

- a. Proof of the authenticity of the declaration signed by a duly authorised legal representative of their company, by their chartered accountant, or by their statutory auditor.
- b. The list of catalogue references meeting the modulation criteria, per category within the meaning of Annex 1 of Directive 2012/19/EC, and per type of product concerned as described in this technical note.

At the request of their take-back system, producers should provide the following for the products concerned by the criteria, at the producer's expense:

c. Evidence of the product classification according to eco-modulation criteria (see below the evidence required according to the criteria and products concerned).

The take-back system can verify the authenticity of declared information at any time by means of an audit conducted directly by the take-back system or by a third-party entity commissioned by the take-back system, both respecting the business confidentiality of the audited producers

Failure to adhere to these undertakings, in the event of an audit and observation of a lack of compliance with the requirements defined above, will result in a procedure to collect the ecocontribution fees avoided on grounds of unjustified implementation of eco-modulation, plus customary legal late payment interest in accordance with the take-back systems' specifications.

All documentary evidence must be written either in French or English. Otherwise, a certified translation of these documents must be provided.

In the absence of documentary evidence of the compliance of an appliance with the modulation criteria applicable thereto, as set out in this note, the appliance in question must be declared at the least favourable scale.

4. Specific rules by criterion

4.1. Criterion - Availability of spare parts

4.1.1. Criterion

Contractual documents committing the producer to provide essential spare parts to use the appliance for a period greater than or equal to the following minimum period, after the final unit of the model has been placed on the market:

Equipment	Minimum period
Electronic displays	10 years
Refrigerating appliances with a direct sales	10 years
function	
Chest freezers	15 years
Welding equipment	15 years
Printing systems	6 years
Emergency lighting systems	8 years

4.1.2. Appliances concerned and spare parts

i) Electronic displays

The appliances concerned are the appliances covered by <u>REGULATION (EU) 2019/2021 laying down</u> ecodesign requirements for electronic displays.

The essential spare parts for the use of the appliance concerned by availability consist of all parts referred to as "spare parts" and listed in Annex II, paragraphs D. 5.(a)(1) and D. 5. (a)(2) of REGULATION (EU) 2019/2021 laying down ecodesign requirements for electronic displays.

ii) Refrigerating appliances with a direct sales function

The appliances concerned are the appliances covered by <u>REGULATION (EU) 2019/2024 laying down</u> ecodesign requirements for refrigerating appliances with a direct sales function.

The essential spare parts for the use of the appliance concerned by availability consist of all parts referred to as "spare parts" and listed in Annex II, paragraphs 2.(a)(1) and 2.(a)(2) of <u>REGULATION</u> (EU) 2019/2024 laying down ecodesign requirements for refrigerating appliances with a direct sales function.

iii) Chest freezers

The appliances concerned are professional chest freezers as cited in Article 1, paragraph 2.(a) of REGULATION (EU) 2019/2019 laying down ecodesign requirements for refrigerating appliances.

The essential spare parts for the use of the appliance concerned by availability consist of all parts referred to as "spare parts" and listed in Annex II, paragraphs 3.(a)(1) and 3.(a)(2) of <u>REGULATION</u> (EU) 2019/2019 laying down ecodesign requirements for refrigerating appliances.

iv) Welding equipment

The appliances concerned are the appliances covered by <u>REGULATION (EU) 2019/1784 laying down</u> ecodesign requirements for welding equipment.

The essential spare parts for the use of the appliance concerned by availability consist of all parts referred to as "spare parts" and listed in Annex II, paragraph 2.(a)(1) of <u>REGULATION (EU) 2019/2019</u> laying down ecodesign requirements for welding equipment.

v) Printing systems

The appliances concerned are as follows:

Ink-jet printer

Consists of a device that transfers data to a paper or other* substrate using inks, gels or solid inks (waxes).

Monochrome printing device

Consists of a device capable of transferring data to paper or other* substrate by monochrome printing only.

Colour printer

Consists of a device capable of transferring data to paper or other* substrate by colour printing.

Multifunction printer

Device that performs two or more main functions, including printing (see equipment above). A multifunction printer (MFP) may have a physically integrated form factor or it may consist of a combination of functionally integrated components.

The spare parts concerned by availability consist of all of the following parts:

- Paper tray/cassette/drawer
- Power supply unit/power supply
- Motherboard/logic board/formatter
- Duplex printing unit, if applicable
- Printer hard drive, if applicable
- External main power cable
- Paper separator
- Control panel/controls/screen/panel
- Document feeder/Automatic document feeder (ADF) for multifunction printers

vi) Emergency lighting systems

The appliances concerned are as follows:

Product Type	Standards
Salf contained amorganicy accords route lighting (BAES avacuation)	NF C 71-800
Self-contained emergency escape route lighting (BAES evacuation)	NF EN 60598-2-22
Self-contained emergency lighting in open areas (BAES ambiance):	NF C 71-801
	NF EN 60598-2-22
Self-contained emergency lighting in residential buildings (BAEH)	NF C 71-805
	NF EN 60598-2-22
Self-contained lighting for sleeping premises (BAES + BAEH)	UTE C 71-803

^{*} Textile and foodstuff type substrates and 3D printing are excluded

	NF EN 60598-2-22
Centrally powered emergency lighting - (L.S.C.)	UTE guide C 71-802 NF EN 60598-2-22
Central power supply systems	NF EN 50171

The essential spare parts for use of the appliance concerned by availability consist of all of the following parts. These spare parts are not necessarily identical to the original parts, but fulfil the same function.

Product Type	Spare parts
Self-contained emergency escape route lighting (BAES evacuation)	Storage battery and Light sources and Escape route pictogram
Self-contained emergency lighting in open areas (BAES ambiance):	Storage battery and Light sources
Self-contained emergency lighting in residential buildings (BAEH)	Storage battery and Light sources
Self-contained lighting for sleeping premises (BAES + BAEH)	Storage battery and Light sources and Pictogram
Centrally powered emergency lighting - (L.S.C.)	Light sources and Escape route pictogram (if appliance has a pictogram)
Central power supply systems	Storage battery

4.1.3. Required documentary evidence

The spare parts mentioned above and the period of availability from the date it was last placed on the market must be specified in the following documents:

Preferred document	General Terms and Conditions of Sale
Otherwise, the following	 Single agreement and/or category-based/specific contract
documents may be used as	 Special Terms and Conditions of Sale
evidence	 Product user guide (publicly accessible)

4.2. Criterion - Absence of bromine in plastic parts containing flame retardants

4.2.1. Criterion

Absence of bromine in all plastic parts of more than 25 grams containing flame retardants, excluding electronic cards and power cables.

4.2.2. Appliances concerned

Category 1 to 10 appliances containing:

- 1) Plastics representing over 20% of the mass of the appliance AND
- 2) Plastics containing flame retardants

Examples:

Appliance	Concerned	Not
		concerned
Appliance containing 10% plastic by mass. All plastic parts contain flame retardants		×
Appliance containing 40% plastic. One plastic part of more than 25 grams contains flame retardants.	√	
Appliance containing 30% plastic by mass. All plastic parts contain flame retardants.	✓	

4.2.3. Required documentary evidence

i) Appliance eligibility

For each product reference, product specifications with the mass of all plastic parts, the mass of each part containing flame retardants, and the total product mass.

ii) Absence of flame retardants

Technical evidence of the absence of brominated flame retardants. If the materials are not included in the MSDS (Material Safety Data Sheet), an undertaking in respect of the absence of brominated flame retardants from the suppliers of the materials in question.

4.3. Criterion - Incorporation of post-consumer recycled plastic

4.3.1. Criterion

At least 20% by mass of all of the plastic in the equipment is derived from post-consumer recycled plastic.

4.3.2. Appliances concerned

Category 1 to 10 appliances containing at least 20% plastic by mass.

4.3.3. Required documentary evidence

i) Appliance eligibility

For each product reference, product specifications with the mass of the plastic parts, and the total product mass.

ii) Recycled content calculation

$$R_{plast,post} = \frac{\sum_k m_k \times r_{post,k}}{m_{plast,post}} \times 100\%$$

where

R_{plast,post} is the post-consumer recycled plastic content of the appliance;

r_{post,k} is the post-consumer recycled plastic content¹ of the kth material or part,

(expressed as %);

 m_k is the mass of the kth material or plastic part; $m_{plast,post}$ is the total mass of plastics of the appliance.

NOTE: In the formula of R_{plast,post}, multiplying by 100% expresses the post-consumer recycled plastic content as a percentage.

iii) Traceability chain

A traceability system capable of tracking recycled plastic materials from the time that they are identified as such until their final incorporation must be implemented. The producer's internal traceability procedure must be available and provided in the event of an audit and must contain at least the following information:

- Date of recycled content calculation
- Description of calculation hypotheses, simplifications and cut-off thresholds
- Selected calculation period (period selected for mass balance calculations)
- Calculation details
- For each plastic part including post-consumer recycled plastic:

¹ For the definition of post-consumer recycled material, refer to standard EN 45557: 2019 - General method for assessing the proportion of recycled materials content in energy-related products, containing details of the scope of the term "post-consumer material" in sections 3.1.1.4, 5.3.3 and A.5.1

- Declaration by the part manufacturer specifying its post-consumer recycled plastic content
- Copy of the recycled plastic purchase contract placed by the part manufacturer for procurement, identifying the post-consumer recycled origin of the material.